



General Assembly

Amendment

February Session, 2004

LCO No. 4772

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Offered by:
REP. HAMZY, 78th Dist.

To: House Bill No. 5168

File No. 287

Cal. No. 205

***"AN ACT AUTHORIZING MUNICIPALITIES TO ESTABLISH
PROGRAMS FOR THE PUBLIC FINANCING OF CAMPAIGNS FOR
ELECTION TO MUNICIPAL OFFICES."***

1 Strike everything after the enacting clause and substitute the
2 following in lieu thereof:

3 "Section 1. Section 9-333a of the general statutes, as amended by
4 section 10 of public act 03-241, is repealed and the following is
5 substituted in lieu thereof (*Effective July 1, 2004*):

6 As used in this chapter:

7 (1) "Committee" means a party committee, political committee or a
8 candidate committee organized, as the case may be, for a single
9 primary, election or referendum, or for ongoing political activities, to
10 aid or promote the success or defeat of any political party, any one or
11 more candidates for public office or the position of town committee
12 member or any referendum question.

13 (2) "Party committee" means a state central committee, [or] a town

14 committee or a legislative caucus committee. "Party committee" does
15 not mean a party-affiliated or district, ward or borough committee
16 which receives all of its funds from the state central committee of its
17 party or from a single town committee with the same party affiliation.
18 Any such committee so funded shall be construed to be a part of its
19 state central or town committee for purposes of this chapter.

20 (3) "Legislative caucus committee" means a single committee
21 designated by the majority of the members of a political party who are
22 also state representatives or state senators, which designation is
23 certified by the chairperson of the committee on the registration filed
24 with the Secretary of the State. The committee shall be identified by the
25 house of the General Assembly in which such legislators serve and the
26 political party to which they belong.

27 ~~[(3)]~~ (4) "Political committee" means (A) a committee organized by a
28 business entity or organization, (B) persons other than individuals, or
29 two or more individuals organized or acting jointly conducting their
30 activities in or outside the state, (C) a committee established by a
31 candidate to determine the particular public office to which he shall
32 seek nomination or election, and referred to in this chapter as an
33 exploratory committee, or (D) a committee established by or on behalf
34 of a slate of candidates in a primary for the office of justice of the
35 peace, but does not mean a candidate committee or a party committee.

36 ~~[(4)]~~ (5) "Candidate committee" means any committee designated by
37 a single candidate, or established with the consent, authorization or
38 cooperation of a candidate, for the purpose of a single primary or
39 election and to aid or promote his candidacy alone for a particular
40 public office or the position of town committee member, but does not
41 mean a political committee or a party committee.

42 ~~[(5)]~~ (6) "National committee" means the organization which
43 according to the bylaws of a political party is responsible for the day-
44 to-day operation of the party at the national level.

45 ~~[(6)]~~ (7) "Organization" means all labor organizations, (A) as defined

46 in the Labor-Management Reporting and Disclosure Act of 1959, as
47 from time to time amended, or (B) as defined in subdivision (9) of
48 section 31-101, employee organizations, as defined in subsection (d) of
49 section 5-270, and subdivision (6) of section 7-467, bargaining
50 representative organizations for teachers, any local, state or national
51 organization, to which a labor organization pays membership or per
52 capita fees, based upon its affiliation or membership, and trade or
53 professional associations which receive their funds exclusively from
54 membership dues, whether organized in or outside of this state, but
55 does not mean a candidate committee, party committee or a political
56 committee.

57 [(7)] (8) "Business entity" means the following, whether organized in
58 or outside of this state: Stock corporations, banks, insurance
59 companies, business associations, bankers associations, insurance
60 associations, trade or professional associations which receive funds
61 from membership dues and other sources, partnerships, joint ventures,
62 private foundations, as defined in Section 509 of the Internal Revenue
63 Code of 1986, or any subsequent corresponding internal revenue code
64 of the United States, as from time to time amended; trusts or estates;
65 corporations organized under sections 38a-175 to 38a-192, inclusive,
66 38a-199 to 38a-209, inclusive, and 38a-214 to 38a-225, inclusive, and
67 chapters 594 to 597, inclusive; cooperatives, and any other association,
68 organization or entity which is engaged in the operation of a business
69 or profit-making activity; but does not include professional service
70 corporations organized under chapter 594a and owned by a single
71 individual, nonstock corporations which are not engaged in business
72 or profit-making activity, organizations, as defined in subdivision (6)
73 of this section, candidate committees, party committees and political
74 committees as defined in this section. For purposes of this chapter,
75 corporations which are component members of a controlled group of
76 corporations, as those terms are defined in Section 1563 of the Internal
77 Revenue Code of 1986, or any subsequent corresponding internal
78 revenue code of the United States, as from time to time amended, shall
79 be deemed to be one corporation.

80 [(8)] (9) "Individual" means a human being, a sole proprietorship, or
81 a professional service corporation organized under chapter 594a and
82 owned by a single human being.

83 [(9)] (10) "Person" means an individual, committee, firm,
84 partnership, organization, association, syndicate, company trust,
85 corporation, limited liability company or any other legal entity of any
86 kind but does not mean the state or any political or administrative
87 subdivision of the state.

88 [(10)] (11) "Candidate" means an individual who seeks nomination
89 for election or election to public office whether or not such individual
90 is elected, and for the purposes of this chapter an individual shall be
91 deemed to seek nomination for election or election if he has (A) been
92 endorsed by a party or become eligible for a position on the ballot at an
93 election or primary, or (B) solicited or received contributions, made
94 expenditures or given his consent to any other person to solicit or
95 receive contributions or make expenditures with the intent to bring
96 about his nomination for election or election to any such office.
97 "Candidate" also means a slate of candidates which is to appear on the
98 ballot in a primary for the office of justice of the peace. For the
99 purposes of sections 9-333 to 9-333l, inclusive, as amended by this act,
100 and section 9-333w, "candidate" also means an individual who is a
101 candidate in a primary for town committee members.

102 [(11)] (12) "Campaign treasurer" means the individual appointed by
103 a candidate or by the chairman of a party committee or a political
104 committee to receive and disburse funds on behalf of the candidate or
105 committee.

106 [(12)] (13) "Deputy campaign treasurer" means the individual
107 appointed by the candidate or by the chairman of a committee to serve
108 in the capacity of the campaign treasurer if the campaign treasurer is
109 unable to perform his duties.

110 [(13)] (14) "Solicitor" means an individual appointed by a campaign
111 treasurer of a committee to receive, but not to disburse, funds on

112 behalf of the committee.

113 [(14)] (15) "Referendum question" means a question to be voted
114 upon at any election or referendum, including a proposed
115 constitutional amendment.

116 [(15)] (16) "Lobbyist" means a lobbyist, as defined in subsection (l) of
117 section 1-91.

118 [(16)] (17) "Business with which he is associated" means any
119 business in which the contributor is a director, officer, owner, limited
120 or general partner or holder of stock constituting five per cent or more
121 of the total outstanding stock of any class. Officer refers only to the
122 president, executive or senior vice-president or treasurer of such
123 business.

124 [(17)] (18) "Independent expenditure" means an expenditure that is
125 made without the consent, knowing participation, or consultation of, a
126 candidate or agent of the candidate committee. "Independent
127 expenditure" does not include an expenditure (A) if there is any
128 coordination or direction with respect to the expenditure between the
129 candidate or the treasurer, deputy treasurer or chairman of his
130 candidate committee and the person making the expenditure, or (B) if,
131 during the same election cycle, the individual making the expenditure
132 serves or has served as the treasurer, deputy treasurer or chairman of
133 the candidate committee.

134 [(18)] (19) "Federal account" means a depository account that is
135 subject to the disclosure and contribution limits provided under the
136 Federal Election Campaign Act of 1971, as amended from time to time.

137 [(19)] (20) "Public funds" means funds belonging to, or under the
138 control of, the state or a political subdivision of the state.

139 Sec. 2. Subsection (b) of section 9-333b of the general statutes is
140 repealed and the following is substituted in lieu thereof (*Effective July*
141 *1, 2004*):

- 142 (b) As used in this chapter, "contribution" does not mean:
- 143 (1) A loan of money made in the ordinary course of business by a
144 national or state bank;
- 145 (2) Any communication made by a corporation, organization or
146 association to its members, owners, stockholders, executive or
147 administrative personnel, or their families;
- 148 (3) Nonpartisan voter registration and get-out-the-vote campaigns
149 by any corporation, organization or association aimed at its members,
150 owners, stockholders, executive or administrative personnel, or their
151 families;
- 152 (4) Uncompensated services provided by individuals volunteering
153 their time;
- 154 (5) The use of real or personal property, and the cost of invitations,
155 food or beverages, voluntarily provided by an individual to a
156 candidate or on behalf of a state central or town committee, in
157 rendering voluntary personal services for candidate or party-related
158 activities at the individual's residence, to the extent that the cumulative
159 value of the invitations, food or beverages provided by the individual
160 on behalf of any single candidate does not exceed two hundred dollars
161 with respect to any single election, and on behalf of all state central
162 and town committees does not exceed four hundred dollars in any
163 calendar year;
- 164 (6) The sale of food or beverage for use in a candidate's campaign or
165 for use by a state central or town committee at a discount, if the charge
166 is not less than the cost to the vendor, to the extent that the cumulative
167 value of the discount given to or on behalf of any single candidate does
168 not exceed two hundred dollars with respect to any single election,
169 and on behalf of all state central and town committees does not exceed
170 four hundred dollars in a calendar year;
- 171 (7) Any unreimbursed payment for travel expenses made by an

172 individual who on the individual's own behalf volunteers the
173 individual's personal services to any single candidate to the extent the
174 cumulative value does not exceed two hundred dollars with respect to
175 any single election, and on behalf of all state central or town
176 committees does not exceed four hundred dollars in a calendar year;

177 (8) The payment, by a party committee, political committee or an
178 individual, of the costs of preparation, display, mailing or other
179 distribution incurred by the committee or individual with respect to
180 any printed slate card, sample ballot or other printed list containing
181 the names of three or more candidates;

182 (9) The donation of any item of personal property by an individual
183 to a committee for a fund-raising affair, including a tag sale or auction,
184 or the purchase by an individual of any such item at such an affair, to
185 the extent that the cumulative value donated or purchased does not
186 exceed fifty dollars;

187 [(10) The purchase of advertising space which clearly identifies the
188 purchaser, in a program for a fund-raising affair, provided the
189 cumulative purchase of such space does not exceed two hundred fifty
190 dollars from any single candidate or the candidate's committee with
191 respect to any single election campaign or two hundred fifty dollars
192 from any single party committee or other political committee in any
193 calendar year if the purchaser is a business entity or fifty dollars for
194 purchases by any other person;]

195 [(11)] (10) The payment of money by a candidate to the candidate's
196 candidate committee;

197 [(12)] (11) The donation of goods or services by a business entity to a
198 committee for a fund-raising affair, including a tag sale or auction, to
199 the extent that the cumulative value donated does not exceed one
200 hundred dollars;

201 [(13)] (12) The advance of a security deposit by an individual to a
202 telephone company, as defined in section 16-1, as amended, for

203 telecommunications service for a committee, provided the security
204 deposit is refunded to the individual;

205 [(14)] (13) The provision of facilities, equipment, technical and
206 managerial support, and broadcast time by a community antenna
207 television company, as defined in section 16-1, as amended, for
208 community access programming pursuant to section 16-331a, unless
209 (A) the major purpose of providing such facilities, equipment, support
210 and time is to influence the nomination or election of a candidate, or
211 (B) such facilities, equipment, support and time are provided on behalf
212 of a political party; or

213 [(15)] (14) The sale of food or beverage by a town committee to an
214 individual at a town fair, county fair or similar mass gathering held
215 within the state, to the extent that the cumulative payment made by
216 any one individual for such items does not exceed fifty dollars.

217 Sec. 3. Subdivision (1) of subsection (g) of section 9-333i of the
218 general statutes, as amended by section 61 of public act 03-241, is
219 repealed and the following is substituted in lieu thereof (*Effective July*
220 *1, 2004*):

221 (g) (1) As used in this subsection, (A) "the lawful purposes of his
222 committee" means: (i) For a candidate committee or exploratory
223 committee, the promoting of the nomination or election of the
224 candidate who established the committee, except that after a political
225 party nominates candidates for election to the offices of Governor and
226 Lieutenant Governor, whose names shall be so placed on the ballot in
227 the election that an elector will cast a single vote for both candidates,
228 as prescribed in section 9-181, a candidate committee established by
229 either such candidate may also promote the election of the other such
230 candidate; (ii) for a political committee, the promoting of the success or
231 defeat of candidates for nomination and election to public office or
232 position subject to the requirements of this chapter, or the success or
233 defeat of referendum questions, provided a political committee formed
234 for a single referendum question shall not promote the success or

235 defeat of any candidate; [, and provided further a political committee
236 designated by the majority of the members of a political party who are
237 also members of the state House of Representatives or the state Senate
238 may expend funds to defray costs of its members for conducting
239 legislative or constituency-related business which are not reimbursed
240 or paid by the state;] and (iii) for a party committee, the promoting of
241 the party, the candidates of the party and continuing operating costs of
242 the party, provided a legislative caucus committee may expend funds
243 to defray costs of its members for conducting legislative or
244 constituency-related business which are not reimbursed or paid by the
245 state, and (B) "immediate family" means a spouse or dependent child
246 of a candidate who resides in the candidate's household.

247 Sec. 4. Subsection (c) of section 9-333j of the general statutes, as
248 amended by section 4 of public act 03-223 and section 60 of public act
249 03-241, is repealed and the following is substituted in lieu thereof
250 (*Effective July 1, 2004*):

251 (c) (1) Each statement filed under subsection (a), (e) or (f) of this
252 section shall include, but not be limited to: (A) An itemized accounting
253 of each contribution, if any, including the full name and complete
254 address of each contributor and the amount of the contribution; (B) in
255 the case of anonymous contributions, the total amount received and
256 the denomination of the bills; (C) an itemized accounting of each
257 expenditure, if any, including the full name and complete address of
258 each payee, the amount and the purpose of the expenditure, the
259 candidate supported or opposed by the expenditure, whether the
260 expenditure is made independently of the candidate supported or is an
261 in-kind contribution to the candidate, and a statement of the balance
262 on hand or deficit, as the case may be; (D) an itemized accounting of
263 each expense incurred but not paid; (E) the name and address of any
264 person who is the guarantor of a loan to, or the cosigner of a note with,
265 the candidate on whose behalf the committee was formed, or the
266 campaign treasurer in the case of a party committee or a political
267 committee or who has advanced a security deposit to a telephone
268 company, as defined in section 16-1, as amended, for

269 telecommunications service for a committee; [(F) for each business
270 entity or person purchasing advertising space in a program for a fund-
271 raising affair, the name and address of the business entity or the name
272 and address of the person, and the amount and aggregate amounts of
273 such purchases; (G)] (F) for each individual who contributes in excess
274 of one hundred dollars but not more than one thousand dollars, in the
275 aggregate, to the extent known, the principal occupation of such
276 individual and the name of the individual's employer, if any; [(H)] (G)
277 for each individual who contributes in excess of one thousand dollars
278 in the aggregate, the principal occupation of such individual, the name
279 of the individual's employer, if any, and a statement indicating
280 whether the individual or a business with which he is associated has a
281 contract with the state which is valued at more than five thousand
282 dollars; [(I)] (H) for each itemized contribution made by a lobbyist, the
283 spouse of a lobbyist or any dependent child of a lobbyist who resides
284 in the lobbyist's household, a statement to that effect; and [(J)] (I) for
285 each individual who contributes in excess of four hundred dollars in
286 the aggregate to or for the benefit of any candidate's campaign for
287 nomination at a primary or election to the office of chief executive
288 officer of a town, city or borough, a statement indicating whether the
289 individual or a business with which he is associated has a contract
290 with said municipality that is valued at more than five thousand
291 dollars. Each campaign treasurer shall include in such statement (i) an
292 itemized accounting of the receipts and expenditures relative to any
293 testimonial affair held under the provisions of section 9-333k, as
294 amended by this act, or any other fund-raising affair, which is referred
295 to in subsection (b) of section 9-333b, as amended by this act, and (ii)
296 the date, location and a description of the affair.

297 (2) Each contributor described in subparagraph [(G), (H), (I) or (J)]
298 (F), (G), (H) or (I) of subdivision (1) of this subsection shall, at the time
299 the contributor makes such a contribution, provide the information
300 which the campaign treasurer is required to include under said
301 subparagraph in the statement filed under subsection (a), (e) or (f) of
302 this section. Notwithstanding any provision of subdivision (2) of

303 section 9-7b, as amended, any contributor described in subparagraph
304 [(G)] (F) of subdivision (1) of this subsection who does not provide
305 such information at the time the contributor makes such a contribution
306 and any treasurer shall not be subject to the provisions of subdivision
307 (2) of section 9-7b, as amended. If a campaign treasurer receives a
308 contribution from an individual which separately, or in the aggregate,
309 is in excess of one thousand dollars and the contributor has not
310 provided the information required by [said] subparagraph [(H)] (G) of
311 subdivision (1) of this subsection or if a campaign treasurer receives a
312 contribution from an individual to or for the benefit of any candidate's
313 campaign for nomination at a primary or election to the office of chief
314 executive officer of a town, city or borough, which separately, or in the
315 aggregate, is in excess of four hundred dollars and the contributor has
316 not provided the information required by [said] subparagraph [(J)] (I)
317 of subdivision (1) of this subsection, the campaign treasurer: (i) Within
318 three business days after receiving the contribution, shall send a
319 request for such information to the contributor by certified mail, return
320 receipt requested; (ii) shall not deposit the contribution until the
321 campaign treasurer obtains such information from the contributor,
322 notwithstanding the provisions of section 9-333h, as amended; and (iii)
323 shall return the contribution to the contributor if the contributor does
324 not provide the required information within fourteen days after the
325 treasurer's written request or the end of the reporting period in which
326 the contribution was received, whichever is later. Any failure of a
327 contributor to provide the information which the campaign treasurer is
328 required to include under [said] subparagraph [(G) or (I)] (F) or (H) of
329 subdivision (1) of this subsection, which results in noncompliance by
330 the campaign treasurer with the provisions of [said] subparagraph [(G)
331 or (I)] (F) or (H) of subdivision (1) of this subsection, shall be a
332 complete defense to any action against the campaign treasurer for
333 failure to disclose such information.

334 (3) Contributions from a single individual to a campaign treasurer
335 in the aggregate totaling thirty dollars or less need not be individually
336 identified in the statement, but a sum representing the total amount of

337 all such contributions made by all such individuals during the period
338 to be covered by such statement shall be a separate entry, identified
339 only by the words "total contributions from small contributors".

340 (4) Statements filed in accordance with this section shall remain
341 public records of the state for five years from the date such statements
342 are filed.

343 Sec. 5. Subsection (a) of section 9-333k of the general statutes is
344 repealed and the following is substituted in lieu thereof (*Effective July*
345 *1, 2004*):

346 (a) The chairman of each party committee shall designate a
347 campaign treasurer and may designate a deputy campaign treasurer,
348 or in the case of a state central committee, not more than two deputy
349 campaign treasurers. The campaign treasurer and any deputy
350 campaign treasurers so designated shall sign a statement accepting the
351 designation, which shall be filed with the proper authority with the
352 statement of designation required under subdivision (1) of subsection
353 (a) of section 9-333d. No state central committee or town committee
354 shall establish a committee other than a single party committee for
355 purposes of this chapter. The members of the same political party in a
356 house of the General Assembly may establish only one legislative
357 caucus committee. A party committee or a political committee
358 organized for ongoing political activities shall form no other political
359 committees, except that two or more such committees may join to form
360 a political committee for the purpose of a single fund-raising event.

361 Sec. 6. Subsection (g) of section 9-333l of the general statutes is
362 repealed and the following is substituted in lieu thereof (*Effective July*
363 *1, 2004*):

364 (g) As used in this subsection, "immediate family" means any
365 spouse or dependent child who resides in a lobbyist's household. Each
366 lobbyist who is an individual and, in conjunction with members of his
367 immediate family, makes contributions to or purchases from
368 committees exceeding one thousand dollars in the aggregate during

the twelve-month period beginning July 1, 1993, or July first in any year thereafter, shall file a statement, sworn under penalty of false statement, with the Secretary of the State in accordance with the provisions of section 9-333e, as amended, on the second Thursday in July following the end of such twelve-month period. The statement shall include: (1) The name of each committee to which the lobbyist or a member of his immediate family has made a contribution and the amount and date of each such contribution; and (2) the name of each committee from which the lobbyist or member of his immediate family has purchased any item of property [or advertising space in a program] in connection with a fund-raising event which is not considered a contribution under subsection (b) of section 9-333b, as amended by this act, and the amount, date and description of each such purchase. Each lobbyist who is an individual and who, in conjunction with members of his immediate family, does not make contributions to or purchases from committees exceeding one thousand dollars in the aggregate during any such twelve-month period shall file a statement, sworn under penalty of false statement, with the Secretary of the State in accordance with the provisions of section 9-333e, as amended, on the second Thursday in July, so indicating.

Sec. 7. Section 9-333n of the general statutes, as amended by section 14 of public act 03-241, is repealed and the following is substituted in lieu thereof (*Effective July 1, 2004*):

(a) No individual shall make a contribution or contributions in any one calendar year in excess of five thousand dollars to the state central committee of any party, or for the benefit of such committee pursuant to its authorization or request; or one thousand dollars to a town committee of any political party, or for the benefit of such committee pursuant to its authorization or request; or two thousand dollars to a legislative caucus committee, or for the benefit of such committee pursuant to its authorization or request; or one thousand dollars to a political committee other than (1) a political committee formed solely to aid or promote the success or defeat of a referendum question, (2) an

403 exploratory committee, (3) a political committee established by an
404 organization, or for the benefit of such committee pursuant to its
405 authorization or request, or (4) a political committee formed by a slate
406 of candidates in a primary for the office of justice of the peace of the
407 same town.

408 (b) No individual shall make a contribution to a political committee
409 established by an organization which receives its funds from the
410 organization's treasury. With respect to a political committee
411 established by an organization which has complied with the provisions
412 of subsection (b) or (c) of section 9-333p, and has elected to receive
413 contributions, no individual other than a member of the organization
414 may make contributions to the committee, in which case the individual
415 may contribute not more than five hundred dollars in any one calendar
416 year to such committee or for the benefit of such committee pursuant
417 to its authorization or request.

418 (c) In no event may any individual make contributions to a
419 candidate committee and a political committee formed solely to
420 support one candidate other than an exploratory committee or for the
421 benefit of a candidate committee and a political committee formed
422 solely to support one candidate pursuant to the authorization or
423 request of any such committee, in an amount which in the aggregate is
424 in excess of the maximum amount which may be contributed to the
425 candidate.

426 (d) Any individual may make unlimited contributions or
427 expenditures to aid or promote the success or defeat of any
428 referendum question, provided any individual who makes an
429 expenditure or expenditures in excess of one thousand dollars to
430 promote the success or defeat of any referendum question shall file
431 statements according to the same schedule and in the same manner as
432 is required of a campaign treasurer of a political committee under
433 section 9-333j, as amended by this act.

434 (e) Any individual acting alone may, independent of any candidate,

435 agent of the candidate, or committee, make unlimited expenditures to
436 promote the success or defeat of any candidate's campaign for election,
437 or nomination at a primary, to any office or position, provided any
438 individual who makes an independent expenditure or expenditures in
439 excess of one thousand dollars to promote the success or defeat of any
440 candidate's campaign for election, or nomination at a primary, to any
441 such office or position shall file statements according to the same
442 schedule and in the same manner as is required of a campaign
443 treasurer of a candidate committee under section 9-333j, as amended
444 by this act.

445 (f) (1) As used in this subsection and subsection (f) of section 9-333j,
446 as amended by this act, (A) "investment services" means investment
447 legal services, investment banking services, investment advisory
448 services, underwriting services, financial advisory services or
449 brokerage firm services, and (B) "principal of an investment services
450 firm" means (i) an individual who is a director of or has an ownership
451 interest in an investment services firm to which the State Treasurer
452 pays compensation, expenses or fees or issues a contract, except for an
453 individual who owns less than five per cent of the shares of an
454 investment services firm which is a publicly traded corporation, (ii) an
455 individual who is employed by such an investment services firm as
456 president, treasurer, or executive or senior vice president, (iii) an
457 employee of such an investment services firm who has managerial or
458 discretionary responsibilities with respect to any investment services
459 provided to the State Treasurer, (iv) the spouse or a dependent child of
460 an individual described in this subparagraph, or (v) a political
461 committee established by or on behalf of an individual described in
462 this subparagraph.

463 (2) No principal of an investment services firm shall make a
464 contribution to, or solicit contributions on behalf of, an exploratory
465 committee or candidate committee established by a candidate for
466 nomination or election to the office of State Treasurer during the term
467 of office of the State Treasurer who pays compensation, expenses or
468 fees or issues a contract to such firm.

469 (3) Neither the State Treasurer, the Deputy State Treasurer, any
470 unclassified employee of the office of the State Treasurer acting on
471 behalf of the State Treasurer or Deputy State Treasurer, any candidate
472 for the office of State Treasurer, any member of the Investment
473 Advisory Council established under section 3-13b nor any agent of any
474 such candidate may solicit contributions on behalf of an exploratory
475 committee or candidate committee established by a candidate for
476 nomination or election to any public office, a political committee or a
477 party committee, from a principal of an investment services firm,
478 except that the prohibition in this subsection shall not apply to an
479 incumbent State Treasurer who establishes an exploratory committee
480 or candidate committee for any public office other than State
481 Treasurer.

482 (4) No member of the Investment Advisory Council appointed
483 under section 3-13b shall make a contribution to, or solicit
484 contributions on behalf of, an exploratory committee or candidate
485 committee established by a candidate for nomination or election to the
486 office of State Treasurer.

487 (5) The provisions of this subsection shall not restrict an individual
488 from establishing an exploratory or candidate committee for the
489 individual's own campaign or from soliciting contributions for such
490 committees from persons not prohibited from making contributions
491 under this subsection.

492 (6) The State Treasurer shall keep a list of investment services firms
493 described in this subsection. Said list shall be subject to disclosure
494 under the Freedom of Information Act and shall be available to the
495 State Elections Enforcement Commission. Each investment services
496 contract issued by the State Treasurer shall include the provisions of
497 subdivisions (2) to (5), inclusive, of this subsection as conditions of the
498 contract. Each such investment services firm shall maintain a list of the
499 principals of the investment services firm and shall provide such list to
500 the State Elections Enforcement Commission, upon request of the
501 commission.

502 (g) (1) As used in this subsection, "state officer" means the Governor,
503 Lieutenant Governor, Secretary of the State, Comptroller or Attorney
504 General.

505 (2) If a state officer awards a contract or contracts which, separately
506 or in the aggregate, have a value of fifty thousand dollars or more to a
507 business, (A) no individual who is an owner, partner, director or
508 officer of said business, or a manager of said business who has
509 substantial policy or decision-making authority concerning the
510 administration of the contract shall make a contribution to, or for the
511 benefit of, said state officer's campaign for nomination at a primary or
512 re-election to the same office or election to any other public office or to
513 an exploratory committee formed by said state officer, and (B) said
514 state officer and the officer's committee or agent shall not solicit
515 contributions, on behalf of the candidate or exploratory committee
516 established by said state officer or the candidate or exploratory
517 committee established by any other candidate for nomination or
518 election to any other public office or on behalf of any political
519 committee or party committee, from (i) any individual who is an
520 owner, officer, director, partner or such a manager of said business, (ii)
521 the spouse of any such individual or a dependent child of any such
522 individual who resides in the individual's household, (iii) a political
523 committee established by said business, or (iv) any individual who is
524 an owner, officer, director or partner of a subcontractor of said
525 business or a manager of said subcontractor who has substantial policy
526 or decision-making authority concerning the administration of the
527 subcontract.

528 (3) Each state officer shall keep a list of (A) businesses to which the
529 state officer has awarded a contract or contracts of fifty thousand
530 dollars or more, and (B) all subcontractors under said contracts. Said
531 list shall be subject to disclosure under the Freedom of Information Act
532 and shall be available to the State Elections Enforcement Commission.
533 Each contract issued by a state officer shall include the provisions of
534 subparagraph (A) of subdivision (2) of this subsection as a condition of
535 the contract. Each business to which a state officer has awarded a

536 contract or contracts of fifty thousand dollars or more and each
537 subcontractor under said contract shall maintain a list of such business'
538 or subcontractor's owners, partners, directors, officers and managers
539 with substantial policy or decision-making authority related to the
540 administration of such contracts and shall provide upon request, such
541 list to the State Elections Enforcement Commission, upon request of
542 the commission.

543 (4) For purposes of this subsection, (A) a contract awarded by a
544 department head in the executive branch of state government who is
545 appointed by the Governor shall be deemed to have been awarded by
546 the Governor, and (B) a contract awarded by a board, commission,
547 council or other multi-member authority, for which a majority of the
548 members are appointed by a single state officer, shall be deemed to
549 have been awarded by said state officer.

550 (h) No lobbyist shall make a contribution or contributions to, or for
551 the benefit of, any candidate's campaign for nomination at a primary
552 or election in excess of one hundred dollars. No lobbyist shall make a
553 contribution or contributions in any one calendar year in excess of five
554 hundred dollars to a state central committee, in excess of two hundred
555 fifty dollars to a town committee and in excess of two hundred fifty
556 dollars to a legislative caucus committee.

557 Sec. 8. Subdivision (1) of subsection (e) of section 1-79 of the general
558 statutes is repealed and the following is substituted in lieu thereof
559 (*Effective July 1, 2004*):

560 (1) A political contribution otherwise reported as required by law or
561 a donation or payment as described in subdivision (9) [or (10)] of
562 subsection (b) of section 9-333b, as amended by this act.

563 Sec. 9. Subdivision (1) of subsection (g) of section 1-91 of the general
564 statutes is repealed and the following is substituted in lieu thereof
565 (*Effective July 1, 2004*):

566 (1) A political contribution otherwise reported as required by law or

567 a donation or payment described in subdivision (9) [or (10)] of
568 subsection (b) of section 9-333b, as amended by this act."

This act shall take effect as follows:	
Section 1	<i>July 1, 2004</i>
Sec. 2	<i>July 1, 2004</i>
Sec. 3	<i>July 1, 2004</i>
Sec. 4	<i>July 1, 2004</i>
Sec. 5	<i>July 1, 2004</i>
Sec. 6	<i>July 1, 2004</i>
Sec. 7	<i>July 1, 2004</i>
Sec. 8	<i>July 1, 2004</i>
Sec. 9	<i>July 1, 2004</i>